

Black hole scattering: the self-force approach

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Talk outline

- A) Introduction to self-force
- B) Self-force in black hole scattering
- C) Frequency-domain approach
- D) Ongoing work

PART A: Introduction to self-force

Reviews:

L. Barack & A. Pound, *Self-force and radiation reaction in general relativity*, 2019 Rep. Prog. Phys. **82** 016904 [arXiv:1805.10385]

E. Poisson, A. Pound & I. Vega, *The Motion of Point Particles in Curved Spacetime*, Living Rev. Relativ. **14**, 7 (2011) [arXiv:1102.0529]

The 2-body problem in GR: approaches

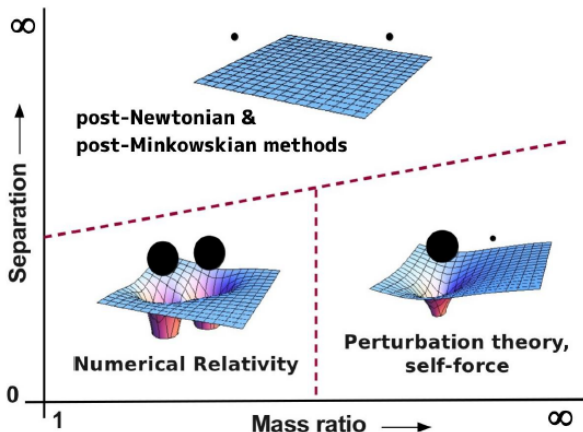


Image credit: L. Barack & A. Pound

Extreme mass ratio inspirals (EMRIs)

Created using KerrGeodesics package from BHP toolkit.

- Highly asymmetric compact binaries. Typical mass ratios

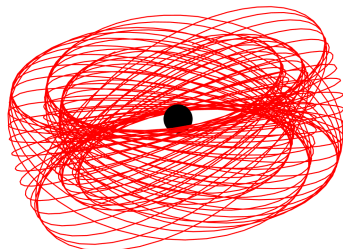
$$q \sim \frac{10M_{\odot}}{10^6M_{\odot}} = 10^{-5} \ll 1 \quad (1)$$

- Inspiral slow compared to orbital periods:

$$T_{\text{RR}} \sim T_{\text{orb}}/q \gg T_{\text{orb}}. \quad (2)$$

- Large number of gravitational wavecycles in LISA band before merger:

$$N_{\text{orb}} \sim 1/q \sim 10^5. \quad (3)$$



- Orbital dynamics complicated. Geodesics tri-periodic and generically ergodic.
- EMRIs offer a precision probe of strong-field geometry around black-holes.

Self-force principles

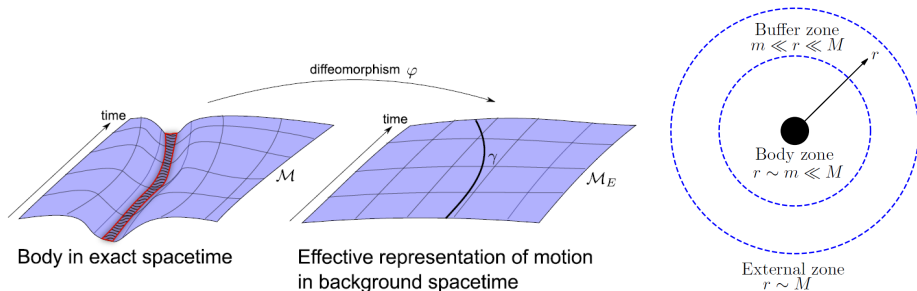


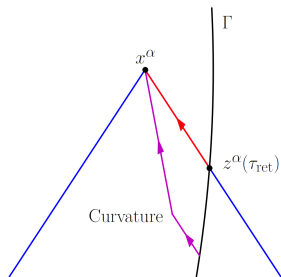
Image credit: A. Pound

- Motion of small body given effective representation in background spacetime of the larger object.
- No need for ad hoc regularisation procedures. EOM derived using *matched asymptotic expansions* [Mino, Sasaki & Tanaka 1997].
- No need to *assume* a point-particle description; effective point-particle description is *derived*.

1SF equation of motion

- Metric perturbation split into “direct” and “tail” contributions:

$$g_{\alpha\beta}^{\text{phys}} = g_{\alpha\beta} + h_{\alpha\beta}^{\text{direct}} + h_{\alpha\beta}^{\text{tail}}. \quad (4)$$



- Only $h_{\alpha\beta}^{\text{tail}}$ contributes to the self-force:

$$m \frac{D^2 z^\alpha}{d\tau^2} = m \nabla^{\alpha\beta\gamma} h_{\beta\gamma}^{\text{tail}} \Big|_{z(\tau)} =: F_{\text{self}}^\alpha, \quad (5)$$

where

$$\nabla^{\alpha\beta\gamma} h_{\gamma\beta} := -\frac{1}{2} \left(g^{\alpha\beta} + u^\alpha u^\beta \right) u^\gamma u^\delta \left(2\nabla_\delta h_{\beta\gamma} - \nabla_\beta h_{\gamma\delta} \right). \quad (6)$$

Computational approach: mode-sum regularisation

- Singular field subtracted mode-by-mode in a spherical harmonic expansion around the large BH:

$$\begin{aligned} F_{\text{self}}(\tau) &= m \sum_{\ell=0}^{\infty} \left[(\nabla h^{\text{ret}})^{\ell} - (\nabla h^{\text{direct}})^{\ell} \right]_{z(\tau)} \quad (7) \\ &= \sum_{\ell=0}^{\infty} \left[m (\nabla h^{\text{ret}})^{\ell} \Big|_{z(\tau)} - A(z)\ell - B(z) - C(z)/\ell \right] - D(z). \end{aligned}$$

- **Regularization parameters:** derived analytically for generic Kerr orbits.

[Barack & Ori 2000-03]

- **Numerical input:** modes of $h_{\alpha\beta}^{\text{ret}}$ calculated numerically by solving perturbation equations with point-particle source and retarded BCs.
- Can accelerate convergence by subtracting additional parameters.

PART B: Self-force in black hole scattering

L. Barack & O. Long, *Self-force correction to the deflection angle in black-hole scattering: A scalar charge toy model*, Phys. Rev. D **106** 104031 (2022) [arXiv:2209.03740].

L. Barack, Z. Bern, E. Herrmann, O. Long, J. Parra-Martinez & R. Roiban, M. Ruf, C. Shen, M. Solon, F. Teng & M. Zeng, *Comparison of post-Minkowskian and self-force expansions: Scattering in a scalar charge toy model*, Phys. Rev. D **108** 024025 (2023) [arXiv:2304.09200].

Scatter orbits

Particle starts at radial infinity at early times with velocity v and *impact parameter* b :

$$b = \lim_{\tau \rightarrow -\infty} r_p(\tau) \sin |\varphi_p(\tau) - \varphi_p(-\infty)|. \quad (8)$$

Provided $b > b_c(v)$, particle scatters off central black hole, approaching to within periapsis distance r_{\min} .

Why study scattering?

- Theoretical grounds:
 - ① Can probe sub-ISCO region even at low velocities; down to light ring $r = 3M$ with large v .
 - ② Scattering angle $\chi(b, v)$ defined unambiguously, even with radiation.
- Boundary-to-bound relations between scatter and bound orbit observables, derived using effective-field-theory. [Kalin & Porto 2020]
- $\chi_{1\text{SF}}$ determines **full** conservative dynamics to 4PM, valid at *any* mass ratio. Extend to 6PM with $\chi_{2\text{SF}}$ [Damour 2020]. PM expansion of χ can be used to calibrate effective-one-body models [Damour 2016].
- Can compare SF results with analytical PM for mutual validation; benchmark/calibrate PM in strong-field.

Self-force corrections to the scatter angle

- The self-force correction is defined by

$$\delta\chi := \chi(b, \nu) - \chi_0(b, \nu) = O(q), \quad (9)$$

where $\chi_0 := \lim_{q \rightarrow 0} \chi$ is the scatter angle of the geodesic with the same (b, ν) .

- Correction expressed as integral over the worldline, [Barack & Long 2022]

$$\delta\chi = \int_{-\infty}^{+\infty} A_\alpha(\tau; b, \nu) F_{\text{self}}^\alpha(\tau) d\tau. \quad (10)$$

At $O(q)$, integral may be evaluated along limiting geodesic.

Conservative and dissipative effects

We can split the self-force into *conservative* and *dissipative* pieces,

$$F_{\text{cons}}^{\alpha} = \frac{1}{2} \left[F_{\text{self}}^{\alpha}(h^{\text{ret}}) + F_{\text{self}}^{\alpha}(h^{\text{adv}}) \right], \quad (11)$$

$$F_{\text{diss}}^{\alpha} = \frac{1}{2} \left[F_{\text{self}}^{\alpha}(h^{\text{ret}}) - F_{\text{self}}^{\alpha}(h^{\text{adv}}) \right], \quad (12)$$

and consider their effects separately, [Barack & Long 2022]

$$\delta\chi_{\text{cons}} = \int_0^{+\infty} A_{\alpha}^{\text{cons}}(\tau; b, \nu) F_{\text{cons}}^{\alpha}(\tau) d\tau, \quad (13)$$

$$\delta\chi_{\text{diss}} = \int_0^{+\infty} A_{\alpha}^{\text{diss}}(\tau; b, \nu) F_{\text{diss}}^{\alpha}(\tau) d\tau. \quad (14)$$

Scalar-field toy model in Schwarzschild

- **Toy model:** scalar charge Q with mass m moving in a background Schwarzschild spacetime of mass M :

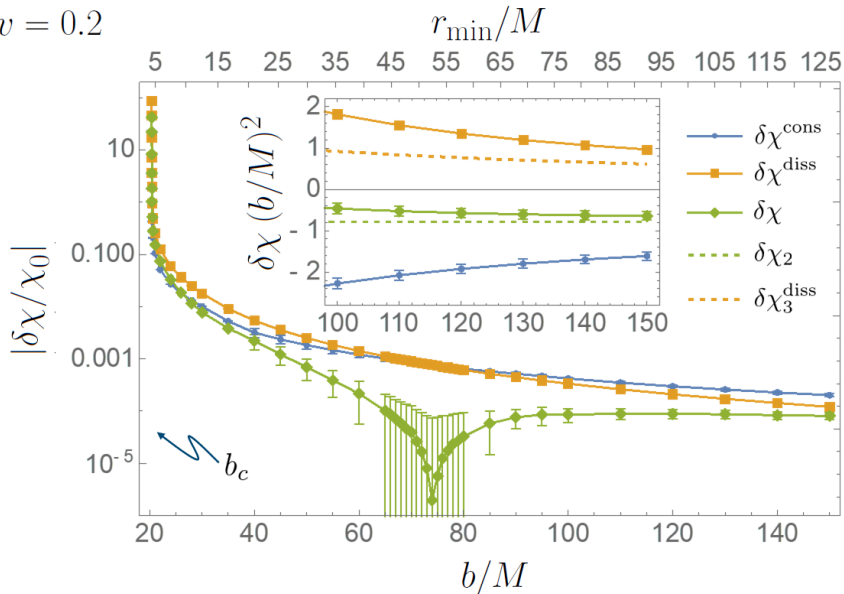
$$\nabla^\mu \nabla_\mu \Phi = -4\pi Q \int_{-\infty}^{+\infty} \frac{\delta^4(x - z(\tau))}{\sqrt{-g(x)}} d\tau, \quad (15)$$

$$\frac{Dp^\alpha}{d\tau} = Q \nabla^\alpha \Phi^{\text{tail}} =: F_{\text{self}}^\alpha. \quad (16)$$

- Scalar-field calculation captures the main challenges of gravitational self-force calculations, in a simpler overall framework.
- Parameter $q_s := Q^2/(mM) \ll 1$ takes the role of the mass ratio. Integral formulae for $\delta\chi$ essentially unchanged.
- First numerical calculations by Long & Barack using their (1+1)D time-domain code for the self-force.

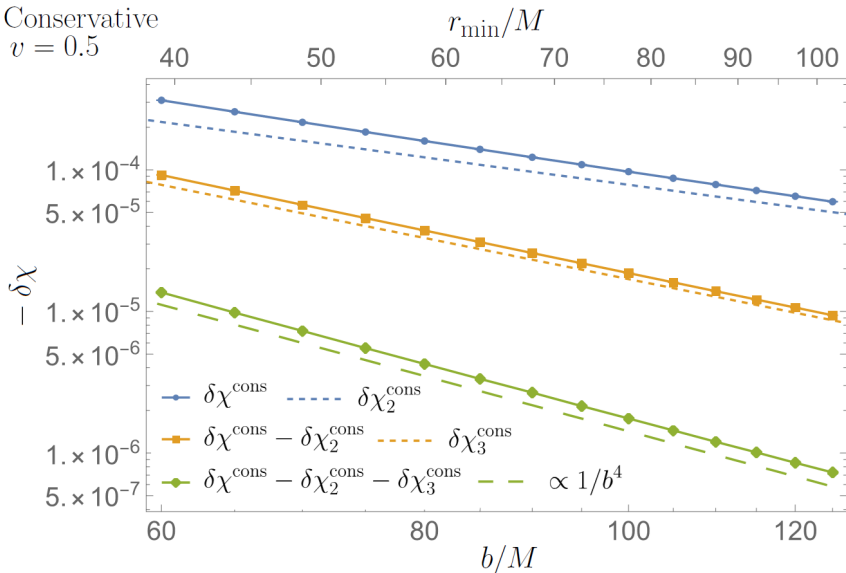
Early scatter angle results [Barack & Long 2022]

$$v = 0.2$$



PM comparisons [Barack et al 2023]

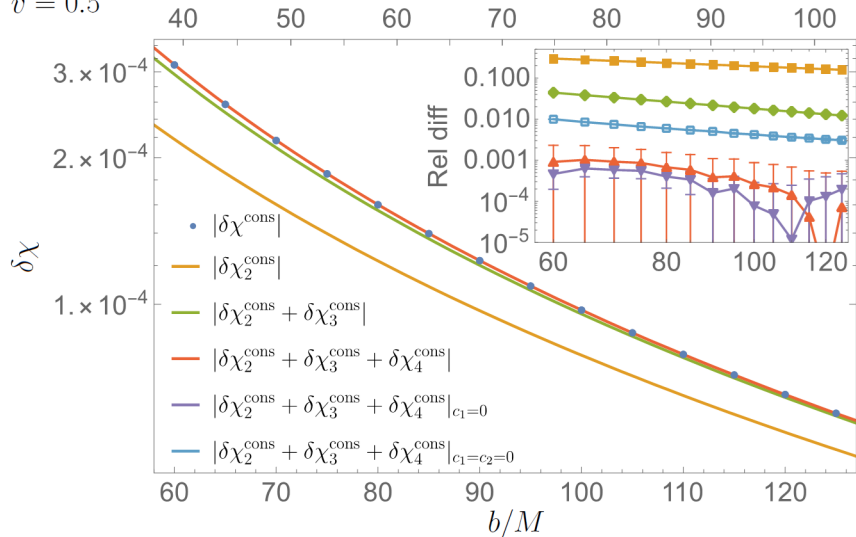
Conservative
 $v = 0.5$



PM comparisons [Barack et al 2023]

Conservative
 $v = 0.5$

r_{\min}/M



PART C: Frequency-domain approach

C. Whittall & L. Barack, *Frequency-domain approach to self-force in hyperbolic scattering*, Phys. Rev. D **108** 064017 (2023) [arXiv:2305.09724].

Frequency-domain methods

- Fields are additionally decomposed into Fourier harmonics, e.g.

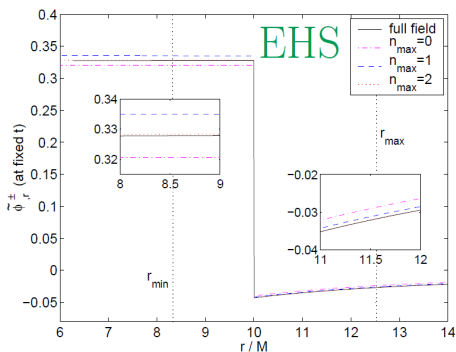
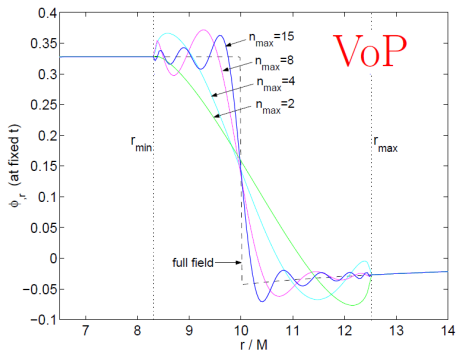
$$\psi_{\ell m}(t, r) = \int_{-\infty}^{+\infty} \psi_{\ell m \omega}(r) e^{-i\omega t}. \quad (17)$$

- Many frequency-domain (FD) self-force codes in existence for bound orbits. Valued for their accuracy and efficiency.
- FD methods expected to retain these advantages when moving to unbound orbits, but challenges must be overcome:
 - ▶ Continuous spectrum.
 - ▶ Source with non-compact radial support.
 - ▶ Cancellation during TD reconstruction.

We use a scalar-field toy model in Schwarzschild to investigate and manage these problems.

Extended homogeneous solutions [Barack, Ori & Sago 2008]

- **Gibbs phenomenon**: impractical to reconstruct SF modes from physical inhomogeneous solution $\psi_{\ell m \omega}(r)$.
- Method of **Extended Homogeneous Solutions** restores **exponential, uniform convergence**.



Extended homogeneous solutions: unbound orbits

- Physical time-domain field is reconstructed piecewise from **homogeneous** solutions.
- For example, SF modes in the “internal” region $r \leq r_p(t)$ reconstructed from

$$\tilde{\psi}_{\ell m \omega}^{-}(r) := C_{\ell m \omega}^{-} \psi_{\ell \omega}^{-}(r), \quad (18)$$

where normalisation the factor $C_{\ell m \omega}^{-}$ is such that EHS and physical field coincide in $r \leq r_{\min}$.

- For unbound orbits, EHS **cannot** be used to reconstruct field in the “external” region $r > r_p(t)$.

We use EHS and one-sided mode-sum regularisation

Truncation problem

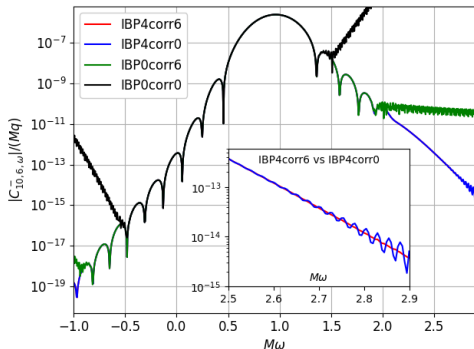
- Normalisation factor $C_{\ell m \omega}^-$ can be expressed as an integral over the (unbounded) radial extent of the orbit:

$$C_{\ell m \omega}^- = \int_{r_{\min}}^{+\infty} \frac{\psi_{\ell \omega}^+(r') S_{\ell m \omega}(r')}{W_{\ell \omega} f(r')} dr'. \quad (19)$$

- **Slow, oscillatory convergence:** problems truncating at finite r_{\max} .

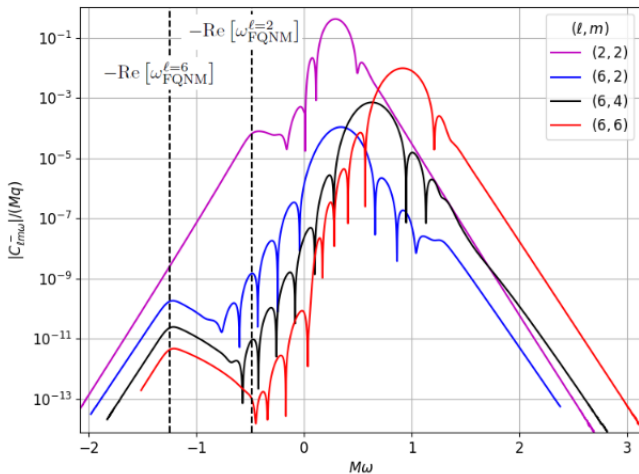
- Developed solutions:

- 1 **Tail corrections:** use large- r approximation to integrand to derive analytical estimates to the neglected tail.
- 2 **Integration by parts (IBP):** use IBP to increase decay rate of integrand.

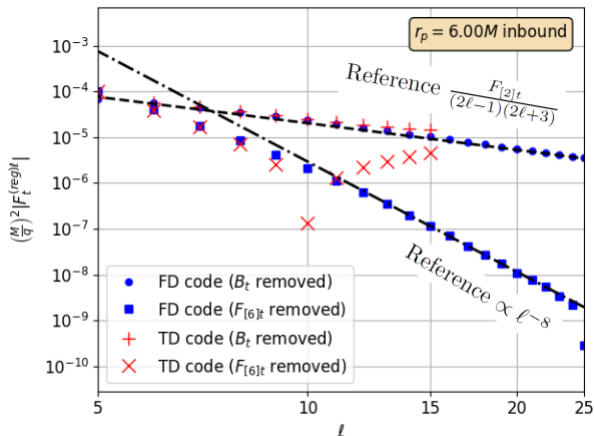


$C_{\ell m \omega}^-$ spectra

Example $C_{\ell m \omega}^-$ spectra for orbit $E = 1.1$, $r_{\min} = 4M$. Note QNM features.



Self-force: regularisation tests

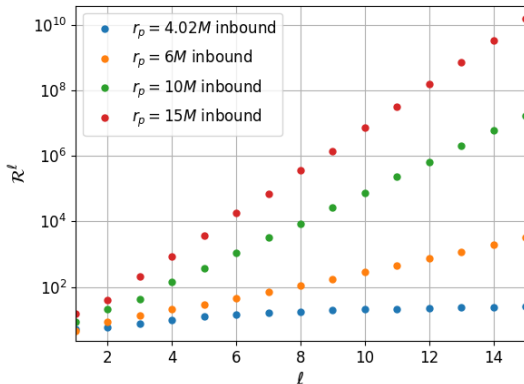


FD code agrees better with regularisation parameters at this radius

$$F_{\text{self}}(\tau) = \sum_{\ell=0}^{\infty} \left[q (\nabla \Phi^{\text{ret}})^{\ell} \Big|_{z(\tau)} - A(z)\ell - B(z) - C(z)/\ell - H.O.P \right] - D(z)$$

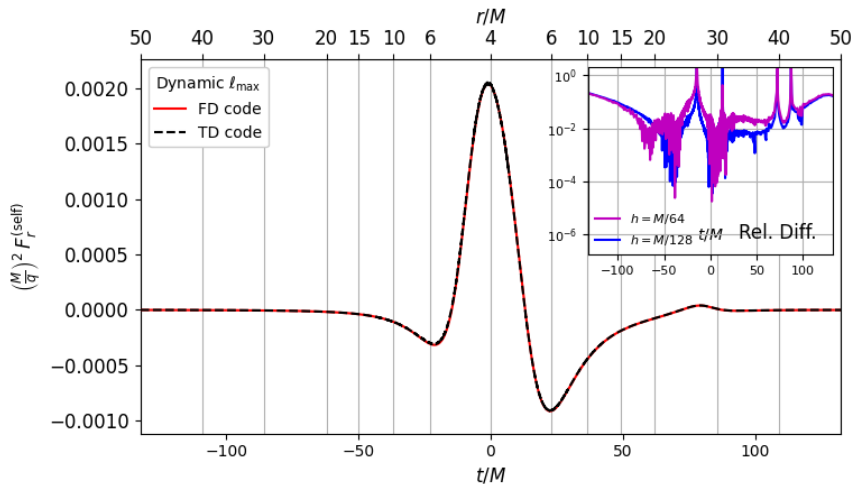
Cancellation problem

- Significant cancellation between low-frequency modes at large ℓ and r .
- Caused by unphysical growth of the EHS field.
- Problem intrinsic to EHS approach. Afflicts scatter calculations more severely than bound orbit case.



Partially mitigate using dynamic ℓ -truncation in the mode-sum.

Self-force: along orbit



Gradual loss of accuracy along orbit due to progressive loss of ℓ -modes.

PART D: Ongoing work

Analytical calculation at large r (preliminary)

- Supplement FD code with analytic expansion of the SF in $1/r$.
- Makes use of a hierarchical expansion, [Barack 1998]

$$\psi_{\ell m}(u, v) = \sum_{N=0}^{\infty} \psi_N(u, v), \quad (20)$$

$$\psi_{0,uv} + V_0(r)\psi_0 = S(u, v), \quad (21)$$

$$\psi_{N,uv} + V_0(r)\psi_N = -\delta V(r)\psi_{N-1} \quad (N > 0), \quad (22)$$

where $V_0(r)$ approximates asymptotic behaviour of exact potential $V(r)$, and $\delta V(r) := V(r) - V_0(r)$.

- ψ_0 (complete) does not contribute to SF; ψ_1 (underway) gives leading large- r behaviour.

PM resummation (preliminary)

- As $b \rightarrow b_c(v)$,

$$\chi_0 \sim A(v) \log \left(1 - \frac{b_c(v)}{b} \right) + \text{const}(v), \quad \delta\chi_{1\text{SF}} \sim q_s B(v) \frac{b_c(v)}{b - b_c(v)}.$$

$A(v)$ known analytically; $B(v)$ inferred from SF calculations.

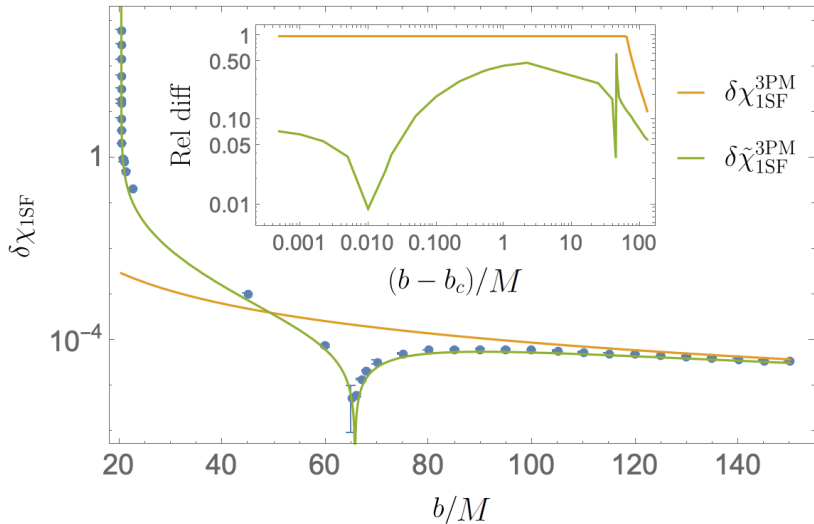
- Consider the function

$$\Psi^{n\text{PM}} = A \left[\log \left(1 - \frac{b_c(1 - q_s B/A)}{b} \right) + \sum_{k=1}^n \frac{1}{k} \left(\frac{b_c(1 - q_s B/A)}{b} \right)^k \right].$$

- We define the **resummed scatter angle** $\tilde{\chi}^{n\text{PM}} := \chi^{n\text{PM}} + \Psi^{n\text{PM}}$.
 - ▶ Agrees with $\chi^{n\text{PM}}$ through $n\text{PM}$ order.
 - ▶ Matches the 0SF and 1SF divergences near separatrix.

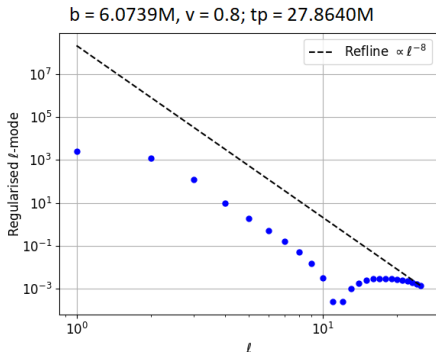
PM resummation (preliminary)

$v = 0.2$



PM resummation: additional developments (preliminary)

- **High velocities:** large- ℓ modes become more important at higher velocities.
 - ▶ Possibly related to relativistic beaming of radiation.
 - ▶ Effect strongest near periapsis.
 - ▶ FD code can get $\ell \geq 15$ modes near periapsis.
 - ▶ Developing FD/TD hybrid method.



- **Direct approach:** express $B(v)$ as integral over critical orbit, $b = b_c(v)$.
 - ▶ Only need to calculate SF along critical orbit. More accurate and efficient than fitting.
 - ▶ FD approach needs to handle a discrete, distributional piece of the spectrum arising from asymptotic circular orbit.

Prospects

- Analytical results for SF at large r : useful for both TD and FD approaches.
- Improved TD methods also under development, including spectral methods with hyperboloidal slicing and compactification.
- Routes to gravity?
 - ▶ Direct Lorenz-gauge calculation [Ackay, Warburton, Barack 2013]
 - ▶ Radiation-gauge reconstruction [Pound, Merlin, Barack 2013]
 - ▶ Lorenz-gauge reconstruction [Dolan, Durkan, Kavanagh, Wardell 2023]
- Second order?
 - ▶ Easier than bound? No disparate timescales.
 - ▶ Would give conservative dynamics to 6PM.
 - ▶ Some way off.